Circular No.: IEX/MO/329/2019 Date: 14th July, 2019

Conduct of “LIVE” trading from Disaster Recovery Site (DRS) for one day on 17th of July 2019 for DAM and TAM segment.

Dear Members,

In pursuance of Rules, Bye-Laws and Business Rules of the Exchange, Members of the Exchange may kindly note the following:-

Exchange will be conducting “LIVE” trading and subsequent settlement process from its Disaster Recovery Site on Wednesday, 17th of July, 2019 for both DAM and TAM segments.

IEX has a well-defined Business Continuity Plan in place for handling any exigencies or interruptions at its primary site and has a well-established Disaster Recovery Site (DRS) to handle all ongoing functionalities like conducting live trading and settlement processes from its DR site.

Accordingly, all members are hereby informed that on 17th of July, 2019 they will have to connect to the DR site through the link ‘https://drssl.iexindia.com’ by using the SSL login for trading purposes. Members are required to note the following time lines for 17th of July, 2019:-

<table>
<thead>
<tr>
<th>Market Segment</th>
<th>Market Time on 17/07/2019</th>
</tr>
</thead>
<tbody>
<tr>
<td>Term Ahead Market</td>
<td>12.30 am to 11.00 pm</td>
</tr>
<tr>
<td>Day Ahead Market</td>
<td>10.00 am to 12.00 noon</td>
</tr>
</tbody>
</table>

On 17th July 2019, Members who will trade in TAM segment are requested to login (TWS/MAT) to the DR site at 12.30 am through their SSL login and Members trading in DAM segment are requested to login (TWS/MAT) early to the DR site preferably around 8.00 am through their SSL login to ensure that there is no connectivity and login related issues.

Trading from the DR site will be conducted for only one day i.e. for 17/07/19. Subsequently from 18/7/19 Members will have to connect to the Primary site by using the current link ‘https://trading.iexindia.com’. All Members are requested to login to their TWS/MAT early in the morning by using their existing SSL VPN or MPLS link as usual. The TAM segment will be available from 12.30 am on 18/7/2019.

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Indian Energy Exchange Limited
Unit no.3,4,5 & 6, Fourth Floor, Plot No.7, TDI Center, District Center, Jasola, New Delhi 110 025
Phone: 011 - 4300 4000 | Fax: 011 - 4300 4015 | www.iexindia.com
CIN: U74999DL2007PLC277039
Member can download their Daily Reports from SFTP ‘https://drsftp.iexindia.com/’ with existing SFTP user id & password. From 18/07/2019 onwards Members will have to use the existing URL ‘https://sftp.iexindia.com/’ to log in into SFTP folder.

There will be no change in User id and password for Members using SSL VPN connection.

For Members using MPLS connection (to log into primary site on daily basis), for trading on 17/07/2019 they will be required to login through SSL VPN User id only provided to them. In case of Members not having their SSL VPN User id, they are required to kindly coordinate with our IT team for further assistance.

Members may send their NOCs (Standing Clearance) mails to iex-schedule@iexindia.com.

Exchange Contact numbers for 17th July 2019 is as follows.

<table>
<thead>
<tr>
<th>Department</th>
<th>Contact Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>IT Support</td>
<td>Mumbai: 022 45107012/7065</td>
</tr>
<tr>
<td></td>
<td>Mumbai Board No.: 022 45107070</td>
</tr>
<tr>
<td></td>
<td>Delhi: 011 43004057/4058</td>
</tr>
<tr>
<td>Trading &amp; Surveillance</td>
<td>022 45107012/7065</td>
</tr>
<tr>
<td>Clearing and Settlement</td>
<td>022 45107052</td>
</tr>
<tr>
<td>Delivery and Scheduling</td>
<td>022 45107052</td>
</tr>
</tbody>
</table>

Members who wish to use IEX GST portal for issuing new KYC or for posting REC Invoice will have to connect to ‘https://drgstinvoice.iexindia.com/Main/Login.aspx’ on 17/07/2019. From 18/07/2019 onwards Members will have to use the existing URL https://gstinvoice.iexindia.com/Main/Login.aspx to log in into IEX GST portal.

Procedure for installation of SSL VPN and FTP access is mentioned in Annexure 1.

Procedure for Log in into GST portal is mentioned in Annexure 2.

For and on behalf of
Indian Energy Exchange Limited

Prasanna Rao

Vice President (Market Operations)
Annexure I

LIVE Trading from DR site, below are the steps to login in SSL, Trading Application, SFTP and GST Portal.

A. DR SSL Login steps:

Step 1: Please open an Internet Explorer and type the ‘https://drssl.iexindia.com’ in address bar.

Step2: It will show below window, please enter existing ‘SSL’ User-id and password for login.

Step3: After successful login, it will show below window.
Step4: Please verify the connection in taskbar tab.

Member Can Also use Pulse Secure Client for connecting DR SSL site. Find below steps to add DR-SSL connection in Pulse Secure Client

Step1: Click start> All Programs>Pulse Secure> open Pulse Secure Client, it will open below screen.
Step 2: Click icon to add new connection, please add the required filed as shown in below snap and click connect.

Step 3: Please use existing SSL User-ID and password and click on connect tab.
Step 4: Please verify the connection as shown in below snap.
B. Trading Application Login steps:

Step 1: After successful login in SSL, Please use existing User-ID and Password to connect TWS and MAT Applications.
Member will use existing TWS & MAT setup for logging in to IEX Market.
C. DR SFTP Login steps:

Step 1: Please open an Internet Explorer and type the “https://drsftp.iexindia.com/” in address bar.

Step 2: Please use existing User-ID and password to login in SFTP Portal.
Note: - MPLS connectivity will not be available during this activity.

Support Numbers:
Delhi Contact Number: 011 43004057/4058
Mumbai Contact Number: 022-45107012/7065

Annexure 2

GST Portal Login steps:

Step 1: Please open an Internet Explorer and type the ‘https://drgstinvoice.iexindia.com/Main/Login.aspx’ in address bar.

Step 2: Please use existing User-ID and password to login in GST Portal.